

Literature Critique Criteria Tabular form for studies of prognostic factors

Criterion	Green	Yellow	Red	Comments
Well-specified	There is a clear			
hypothesis of	statement that a			
which	particular			
prognostic	measurable			
variables are	factor is being			
being tested	studied to			
	determine			
	whether it is			
	associated with			
	at least one			
	clinical outcome			
	which matters to			
	patients, with			
	specifications of			
	end points, cut-			
	off values, and		A	
	subsets of			
	patients			
Study	The source,			
population is	inclusion			
described	criteria,			
	diagnostic			
	criteria, stage of			
	disease, time of			
	origin, and co-			
	morbidities are			
	described in the			
	methods			
	section, and was			
	sampled in a			
	way which			
	represents the			
	patient			
	population of			
	interest; an			
	inception cohort			
	(with new onset			
	of disease) is			
	included ,with			
	fewer than 15%			
	who cannot be			

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Criterion	Green	Yellow	Red	Comments
	evaluated due to			
	missing data			
Method of	The prognostic			
measurement	factor has been			
of prognostic	measured using			
factor is	methods which			
described	have been			
clearly enough	described in			
to be	other studies,			
reproduced	and the			
	distribution of		,	
	its measured			
	values have			
	been ascertained			
	in both healthy			
	and in patient			
	populations			
Follow-up is	Participants who			It is preferable
sufficiently	completed the			not to judge this
complete to	follow-up do			by prespecified
capture the	not differ in key			percentages (e.g.,
distribution of	characteristics			80% completion)
disease	from those who			when the
outcomes in	did not			important
the source	complete the	•		consideration is
population	entire period of			whether attrition
	follow-up	<u> </u>		introduces bias
Outcome	Outcome is			
assessment can	described in			
be interpreted	terms which			
in terms of	include method			
what is	of measurement,			
important to	length of			
patients	follow-up, and			
	is measured			
	with the same instrument and			
	setting for all			
	study			
Confounders	participants Variables which	Only one factor	Univariate	Prognostic
are accounted	may influence	is presented as	models are	Prognostic factors are
for in the	the outcome are	a prognostic	presented, but	commonly
analysis	measured (dose,	factor	no mention of	associated with
anarysis	measured (dose,	14001	no menuon or	associated with

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	level, length of exposure, etc), and there is an accounting for which variables were included in the analysis model	(univariate model), with mention of, but no adjustment for, possible confounders	potential confounders is reported	additional factors which need to be adjusted for and which may strongly influence the estimate of the strength of the prognostic factor
Data presentation facilitate the process of examining the progress of the study cohort	A flow diagram, displaying the number of participants at each stage of the follow-up, with reasons for attrition given at every stage of the study period			
The estimated effect of the prognostic factor on the outcome is presented with confidence intervals in terms which are appropriate for the study question	Logistic regression or Cox regression or Cox regression are used to estimate the odds ratios between the prognostic factor and the outcome, and the selection and the order of entering variables is specified in advance	Logistic or Cox regression are used to estimate odds ratios between prognostic factors and outcomes, but stepwise (forward or backward) regression is used	No regression model for estimating odds ratios is presented	Stepwise regression is fine for exploratory studies of prognostic factors, but the regression coefficients are likely to be inflated; for confirmatory studies, the variable entry needs to be specified in advance (likely derived from exploratory studies)
If laboratory measurements are used as prognostic factors, intra- and inter-				



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Criterion	Green	Yellow	Red	Comments
laboratory				
reproducibility				
of assays is				
reported				

